The VZV/IE63-specific T cell response prevents herpes zoster in fingolimod-treated patients

ABSTRACT

Objective: To assess longitudinally the antiviral immune response of T cells from patients with multiple sclerosis (MS) treated with fingolimod (FTY) vs other disease-modifying treatments (DMTs).

Methods: We assessed cellular immune responses specific to influenza virus (FLU), JC virus (JCV), and varicella-zoster virus (VZV) using quantification of interferon-γ secretion by enzyme-linked immunospot in patients with MS on FTY (n = 31), including 2 with herpes zoster (HZ), natalizumab (n = 11), and other DMTs (n = 11). We used viral lysates for FLU and VZV and a pool of peptides for FLU, JCV (VP-1), and VZV (IE63).

Results: Besides an expected drop of T cells, we found that, proportionally to the number of CD3⁺ T cells, only FTY-treated patients with MS exhibited an increased VZV/IE63-specific T cell response peaking 6 months into treatment, a response that returned to baseline after 12 and 24 months. Two FTY-treated patients developed an HZ 6 months into treatment, coinciding with an absent VZV/IE63-specific T cell response. However, cellular immune responses specific to VZV lysate, JCV, and FLU (lysate and pool of peptide epitopes) were similar between all 3 categories (FTY, natalizumab, and other DMTs) of study patients.

Conclusions: FTY-treated patients with MS exhibit an increased VZV/IE63-specific cellular immune response after 6 months of treatment. FTY-treated patients who develop an HZ are not able to mount such a response, suggesting that a T cell response directed against this viral protein may be key in preventing the occurrence of HZ.

GLOSSARY

DMT = disease-modifying treatment; ELISPOT = enzyme-linked immunospot; FLU = influenza virus; FTY = fingolimod; HZ = herpes zoster; IFN-γ = interferon-γ; JCV = JC virus; MS = multiple sclerosis; NTZ = natalizumab; PBMC = peripheral blood mononuclear cells; SFC = spot-forming cell; VZV = varicella-zoster virus.

Fingolimod (FTY), a treatment of relapsing-remitting multiple sclerosis (MS), is thought to be active by specifically trapping naive and central memory T cells within the lymph nodes, with, as a consequence, a lymphopenia.¹ There are scarce reports of a putative association of FTY with cryptococcal meningitis,² herpes simplex virus 1 infection,³,⁴ and progressive multifocal leukoencephalopathy⁵; however, the overall incidence is clearly very low. Thus, the reported overall incidence of infections does not seem to be significantly higher in patients receiving FTY as compared to control study participants. By contrast, the occurrence of varicella-zoster virus (VZV) is significantly increased in FTY-treated patients.⁶,⁷ Authors have reported a reduction in the frequency of interferon-γ (IFN-γ)-secreting VZV-specific T cells in FTY-treated patients 3 months after treatment initiation, but this difference disappeared when the CD3⁺ T cell count was normalized.⁸ Thus, the question of whether there is a dysregulated VZV-specific T cell response in FTY-treated patients with MS remains open. Furthermore, it is unknown whether...
the increased occurrence of herpes zoster (HZ) in these patients may be related to the VZV-specific cellular immune response.

In an attempt to answer these questions, we studied longitudinally the viral-specific cellular immune response against VZV, influenza virus (FLU), and JC virus (JCV) of patients with MS on FTY and on other disease-modifying treatments (DMTs) and in 2 FTY-treated patients with MS who presented an HZ.

### METHODS

**Patients** We enrolled 53 patients with relapsing-remitting MS (table 1) who were treated with (1) FTY (n = 31), including 2 patients who developed VZV infection while receiving FTY (table 2); (2) natalizumab (NTZ) (n = 11); and (3) other DMTs (IFN-β [n = 8], glatiramer acetate [n = 2], teriflunomide [n = 1]). None of them was ever vaccinated against VZV, and all of the FTY-treated patients with MS exhibited anti-VZV antibodies before treatment initiation (table 1). The diagnosis of MS was made using the revised McDonald criteria. Blood samples were drawn just before the first DMT administration (T0) and then at 6, 12, and 24 months (T6, T12, and T24, respectively) into treatment or until interruption of the treatment. Peripheral blood mononuclear cells (PBMCs) were isolated and frozen as previously described.

**Standard protocol approvals, registrations, and patient consents.** This study was accepted by our institution’s review board (protocol 172/13) and all participants gave their written informed consent before study initiation.

**Enzyme-linked immunospot assay.** To detect interferon-γ–secreting activated T cells specific to VZV, JCV, or FLU, we used an enzyme-linked immunospot (ELISPOT) assay as described previously. Conditions used were as follows: medium only (negative control); phytohemagglutinin lectin (positive control, 5 μg/mL, used as a saturating condition); JCV VP1 pools of sixty-nine 15-mer peptides, overlapping by 11 amino acids, and encompassing the whole VP1 protein, able to elicit a CD4+ as well as a CD8+ T cell response (10 μg/mL); VZV peptide pool of sixty-seven 15 mers, overlapping by 11 amino acids, and covering the whole sequence of the immunodominant IE63-10-12 (VZV pool, JPT peptides, 5 μg/mL), thus able to elicit CD4+ as well as CD8+ T cell response; FLU CD8+ restricted 9-mer peptide epitopes (FLU pool, 1 μg/mL), as well as VZV and FLU viral lysates (TebuBio, ABI Inc., 1 μg/mL). Spot counts and mean responses were generated as previously described. Briefly, all conditions were performed in triplicate and a mean of the results was calculated for each condition. Responses were initially expressed as net spot-forming cells (SFCs) per 106 PBMCs. The assay was considered valid if the SFCs, in the absence of peptide (i.e., negative control), were fewer than 40 per 106 cells. Validated background values were subtracted from the peptide-stimulated data before analysis. Of note, to take into account the lymphopenic effect of FTY, all SFCs were normalized to 106 CD3+ T cells, whose frequency was determined by flow cytometry as described previously.

**Statistical analysis.** The effect of the group or of treatment duration was assessed in a Kruskal–Wallis analysis of variance. The p values obtained from these analyses of variance are henceforth referred to as pKW. If the effect of the treatment duration reached significance, the differences between immune responses measured at T0 and various subsequent time points were analyzed with the nonparametric paired Wilcoxon rank test. A Bonferroni correction was performed to take into account the comparisons performed at multiple time points (T0 vs T6, T0 vs T12, T0 vs T24). These stringent statistical tests were performed to ensure the robustness of any differences found. However, considering the relatively low number

### Table 1 Clinical data of study patients with multiple sclerosis

<table>
<thead>
<tr>
<th>Age at study entry, y</th>
<th>Sex</th>
<th>Disease duration, y</th>
<th>EDSS score</th>
<th>Total no. relapses before treatment</th>
<th>Previous treatment</th>
<th>No. patients reaching time point</th>
<th>VZV serology index before treatment introduction</th>
</tr>
</thead>
<tbody>
<tr>
<td>FYT cohort (n = 29)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>40/14.5</td>
<td>21 F/8 M</td>
<td>9/10.5</td>
<td>1.5/0.5</td>
<td>3/4</td>
<td>IFN-β; 4 GA; 1 NTZ; 2 cladribine (phase III trial); 7 without</td>
<td>T6: 29; T12: 29; T24: 26</td>
<td>2.38/0.99</td>
</tr>
<tr>
<td>NTZ cohort (n = 11)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>38/12</td>
<td>10 F/1 M</td>
<td>6/10.5</td>
<td>3/2.75</td>
<td>6/4.5</td>
<td>IFN-β; 3 GA; 1 mitoxantrone</td>
<td>T6: 6; T12: 11; T24: 9</td>
<td>NA</td>
</tr>
<tr>
<td>Other treatment cohort (n = 11)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>IFN-β; 1 GA; 8 without</td>
<td>T6: 11; T12: 5; T24: 0</td>
<td>NA</td>
</tr>
</tbody>
</table>

Abbreviations: EDSS = Expanded Disability Status Scale; FTY = fingolimod; GA = glatiramer acetate; IFN-β = interferon-β; NA = not available; NTZ = natalizumab.

Data represent median/interquartile range unless otherwise specified.

### Table 2 Clinical data on the 2 patients with multiple sclerosis who underwent an HZ while receiving FTY treatment

<table>
<thead>
<tr>
<th>Age at study entry, y</th>
<th>Sex</th>
<th>Disease duration, y</th>
<th>EDSS score</th>
<th>Total no. relapses before treatment</th>
<th>Zoster onset (mo after starting FTY)</th>
<th>Interval zoster onset/blood draw, d</th>
<th>VZV serology index before starting FTY</th>
<th>Involved dermatoma</th>
</tr>
</thead>
<tbody>
<tr>
<td>HZ 1</td>
<td>30 F</td>
<td>0.5</td>
<td>2.0</td>
<td>2</td>
<td>None*</td>
<td>18</td>
<td>1.82</td>
<td>C4</td>
</tr>
<tr>
<td>HZ 2</td>
<td>50.5 M</td>
<td>8.5</td>
<td>2.5</td>
<td>3</td>
<td>IFN-β</td>
<td>6</td>
<td>1.27</td>
<td>D8</td>
</tr>
</tbody>
</table>

Abbreviations: EDSS = Expanded Disability Status Scale; FTY = fingolimod; HZ = herpes zoster; IFN-β = interferon-β; VZV = varicella-zoster virus.

*HZ 1 received methylprednisolone 5 weeks before blood draw at T0.
of study patients, we cannot rule out that milder differences have gone unnoticed. Correlations between VZV serology index and SFC counts obtained after VZV/IE63 or VZV lysate stimulation were analyzed using the Spearman test. Probability values \( P < 0.05 \) were considered significant. All statistical analyses were performed with GraphPad Prism version 6.04 (GraphPad Software, La Jolla, CA).

**RESULTS** Before treatment onset, the 3 groups of patients showed comparable CD3\(^+\) T lymphocyte...
frequencies among thawed PBMCs (median/interquartile range = 26.8%/20.3; \( p_{KW} = 0.477 \); figure e-1 at Neurology.org/nn). No significant difference was found between MS patient categories for age, disease duration, and sex. However, significantly fewer patients were treatment-naive at the start of NTZ or FTY treatment than for IFN-\( \beta \) or glatiramer acetate treatment, in accordance with clinical practice. As expected, FTY induced a marked lymphopenia at all time points tested, reducing the median frequency of CD3\(^+\) T cells by 83% to 89%, of CD4\(^+\) T cells by 96%, and of CD8\(^+\) T cells by 77% to 83%, as compared to T0. Neither NTZ nor any other DMT statistically affected the CD3\(^+\) T cell population (figure e-1).

To assess whether FTY interferes with antiviral immune responses, IFN-\( \gamma \) secretion by PBMCs was measured by ELISPOT upon VZV, FLU, and JCV stimulations. Baseline responses were similar in all groups tested whatever the antigen used (figure 1, T0). Of main interest, as compared to T0, we detected a 3.8-fold increase of the VZV/IE63-specific cellular immune response in FTY-treated patients at T6 (corrected Wilcoxon ranked test, \( p = 0.0096 \)), which returned to normal at subsequent time points (figure 1A, \( p_{KW} = 0.0372 \)). By contrast, FTY-treated patients with MS did not present any increased cellular immune response against VZV lysate (\( p_{KW} = 0.9654 \)), JCV pool (\( p_{KW} = 0.1065 \)), FLU pool (\( p_{KW} = 0.2299 \)), or FLU lysate (\( p_{KW} = 0.1452 \)) at any time point tested (figure 1, B–E). In addition, we did not observe any difference in the viral-specific cellular immune responses of patients on NTZ or other DMTs. Of note, the 2 patients who had HZ after 6 months of FTY treatment did not develop any VZV/IE63-specific cellular immune response at the time of HZ onset (figure 1A). Somewhat contrasting, at the time of their HZ onset, the VZV lysate-specific cellular immune response seemed to be normal (figure 1B, T6). It is of interest that we found a significant correlation at T0 between the VZV antibody index and the VZV/IE63-specific but not the VZV lysate-specific T cell response, pointing to the central immunogenic role of IE63 (figure e-2).

**DISCUSSION** FTY-treated patients with MS have a 1.6-fold increased risk of developing HZ, as compared to other patients with MS. The risk of HZ is maximal at 6 months into treatment, without any peculiar increase afterward.\(^6\) Adding to this observation, serious or even fatal cases of disseminated VZV infection were reported in FTY-treated patients.\(3,6,14,15\) However, the reason for such a VZV reactivation is still poorly understood.

Herein, we show that, ex vivo, the cellular immune response specific to VZV, JCV, and FLU by ELISPOT assay is maintained in FTY-treated patients as compared to control patients with MS. However, there is a notable exception for VZV/IE63-specific T cell response, which is clearly increased after 6 months into FTY treatment as compared to patients with MS who have other DMTs. Yet, this 6-month time point precisely coincides with the reported peak of HZ incidence.\(^6\)

Of note, the 2 FTY-treated patients who developed HZ had an undetectable VZV/IE63-specific cellular immune response at the time of the onset of their HZ (T6). These results suggest that the VZV/IE63-specific T cell response may be key in preventing the onset of HZ in FTY-treated patients. A slightly reduced antiviral T cell response has been previously found in patients treated with FTY whereby 11% of them had a subclinical reactivation of VZV in the saliva. However, in contrast to our methods, these authors did not normalize their data. Thus, the question remains open whether the decreased VZV-specific T cell response may simply reflect the lymphopenia associated with VZV.\(^8\) Clearly supporting our data, others have found that a deficiency in IE63-specific T cell response was associated with a subclinical VZV reactivation in patients with malignancies, whereas the immune response directed against the VZV-glycoprotein gE was maintained in the same group of patients.\(^16\)

In conclusion, in this longitudinal observational study with FTY-treated patients and control patients with MS, we show that the overall viral-specific cellular immune response is preserved in FTY-treated patients with MS when normalized to the CD3\(^+\) T cell count. Our data further suggest that a VZV reactivation occurs at 6 months under FTY and that, at this time, the VZV/IE63-specific cellular immune response seems to be crucial in preventing the onset of HZ under FTY treatment. To further establish that VZV reactivation takes place at 6 months into FTY treatment, it may be of interest for future studies to encompass determination of VZV DNA and of VZV-specific antibodies in the blood, and possibly also in the CSF, at different time points. Such studies will also tell whether the determination of the VZV/IE63-specific cellular immune response may serve to identify patients at risk of HZ. Until then, and based on our data, which show a correlation between the VZV/IE63-specific cellular immune response and the VZV antibody index, we suggest that it is important to vaccinate future FTY-treated patients with MS who have a low VZV antibody index.

**AUTHOR CONTRIBUTIONS**

A.M. designed the research, performed the experiments, analyzed the data, and wrote the manuscript. G. Perriard discussed the results and revised the manuscript. M.C. performed the experiments and analyzed the data. F.V. performed the experiments and analyzed the data.
G. Perrotta provided clinical data and discussed the results. M.S. enrolled patients, provided clinical data, and revised the manuscript. R.D.P. designed the research, wrote the manuscript, and supervised the study.

ACKNOWLEDGMENT
The authors thank G. Le Goff for help in enrolling patients and obtaining blood samples and Nils Jonkmans for thorough reading of the manuscript.

STUDY FUNDING
This work was supported by grants from an investigator-initiated trial (Novartis), the Swiss National Foundation (320030_159997), and the Swiss MS Society.

DISCLOSURE
A. Mathias, G. Perriard, M. Canales, F. Vuilleumier, and G. Perrotta report no disclosures. M. Schluep served on the scientific advisory board for and received travel funding and/or speaker honoraria from Biogen, Genzyme, Merck Serono, Novartis, and Sanofi-Aventis, consulted for Biogen, Merck Serono, Novartis, Genzyme, and Sanofi-Aventis, and received research support from Merck Serono, Novartis, and Biogen. R.A. Du Pasquier served on the scientific advisory board for Biogen and Genzyme, received travel funding and/or speaker honoraria from Sanofi and Genzyme, is on the editorial board for Journal of Neurovirology, and received research support from the Swiss Society for Multiple Sclerosis and Novartis. Go to Neurology.org/nn for full disclosure forms.

Received September 22, 2015. Accepted in final form December 27, 2015.

REFERENCES
The VZV/IE63-specific T cell response prevents herpes zoster in fingolimod-treated patients
Amandine Mathias, Guillaume Perriard, Mathieu Canales, et al.

Neurol Neuroimmunol Neuroinflamm 2016;3;
DOI 10.1212/NXI.0000000000000209

This information is current as of February 10, 2016