Intrathecal Antibody Production Against Epstein-Barr, Herpes Simplex, and Other Neurotropic Viruses in Autoimmune Encephalitis

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Abstract

Background and Objectives
Neurotropic viruses are suspected to play a role in the pathogenesis of autoimmune diseases of the CNS such as the association between the Epstein-Barr virus (EBV) and multiple sclerosis (MS). A group of autoimmune encephalitis (AE) is linked to antibodies against neuronal cell surface proteins. Because CNS infection with the herpes simplex virus can trigger anti–NMDA receptor (NMDAR) encephalitis, a similar mechanism for EBV and other neurotropic viruses could be postulated. To investigate for previous viral infections of the CNS, intrathecally produced virus-specific antibody synthesis was determined in patients with AE.

Methods
Antibody-specific indices (AIs) against EBV and measles, rubella, varicella zoster, herpes simplex virus, and cytomegalovirus were determined in 27 patients having AE (anti-NMDAR encephalitis, n = 21, and LGI1 encephalitis, n = 6) and in 2 control groups comprising of 30 patients with MS and 21 patients with noninflammatory CNS diseases (NIND), which were sex and age matched.

Results
An intrathecal synthesis of antibodies against EBV was found in 5/27 (19%) patients with AE and 2/30 (7%) of the patients with MS. All these patients had also at least 1 additional elevated virus-specific AI. In contrast, in none of the patients with NIND, an elevated virus-specific AI was detected.

Discussion
Intrathecally produced antibodies against EBV can be found in patients with AE and MS but only together with antibodies against different neurotropic viruses. Evidence of these antibodies is the result of a polyspecific immune response similar yet distinct from MS response rather than an elapsed infection of the CNS.
Autoimmune encephalitis (AE) is a group of inflammatory CNS diseases associated with antibodies against neural antigens. These antibodies can either be directed against intracellular antigens or against cell surface antigens causing neuronal dysfunction. The most common AE is associated with antibodies against leucine-rich, glioma-inactivated 1 (LGI1). A clinical association between herpes simplex virus (HSV) encephalitis and anti-NMDAR encephalitis has been described. It is hypothesized that the HSV, and possibly other neurotropic viruses, can trigger the production of antibodies against the NMDAR and other neuronal cell surface proteins. One mechanism could be that these viruses may lyse neurons, releasing antigens that sensitize antibodies to the NMDAR and initiate autoimmune reactivity. Epstein-Barr virus (EBV), another member of the herpes virus family, might act in a similar way as it is known to be a potential trigger for a wide range of autoimmune disorders.

An association between multiple sclerosis (MS) and EBV infections has been described in several articles. In particular, the almost universal EBV seroprevalence in patients with MS and the extremely low MS risk in EBV-seronegative patients have been emphasized. Of interest, the frequency of intrathecally produced antibodies to EBV in patients with MS is paradoxically low compared with that of other common viruses. In comparison with MS, little is known about the association between EBV and AE.

In this study, we investigated the frequency and titer of intrathecal synthesis of antibodies against EBV and HSV, and other neurotropic viruses measles, rubella, varicella zoster (MRZ), and cytomegalovirus (CMV), in patients with AE in comparison to control groups consisting of patients with MS and noninflammatory neurologic diseases (NIND).

**Methods**

**Patients**

Medical records of patients admitted to the Department of Neurology at Hannover Medical School between 2014 and 2019 were screened for the diagnosis of AE with detection of NMDAR or LGI1 antibodies. In addition, patients who were recruited by the German Network for Research on Autoimmune Encephalitis (GENERATE; generate.net) with detection of anti-NMDAR or anti-LGI1 antibodies enrolled until 2016 were identified, and clinical and laboratory data were extracted from the GENERATE database. Only adult patients aged 18 years or older who fulfilled the recently suggested diagnostic criteria for definitive AE (with detection of antibodies against cell surface) with a sufficient clinical documentation and a complete CSF workup without evidence of any other inflammatory disease of the CNS were included. Part of the results of 20 of these (14 patients with anti-NMDAR encephalitis and 6 with anti-LGI1 encephalitis) including the AIs for measles, rubella, and varicella zoster virus (VZV) is described in more detail in the accompanying article (Dürr et al., 2021, submitted).

The modified Rankin Scale (mRS) score, which indicates the extent of functional impairment due to the neurologic disease, was extracted from the medical records for all patients with AE. The peak mRS score during the hospital stay and the mRS score at discharge were evaluated.

The control group of NIND consisted of patients with normal pressure hydrocephalus (NPH) and patients with idiopathic intracranial hypertension (IIH). Prodromal symptoms included fever and signs of upper respiratory or gastrointestinal infection, which occurred within 4 weeks before onset of neurologic symptoms.

Another control group comprised patients with MS diagnosed according to the McDonald criteria of 2010. Patients in the control groups were admitted to Hannover Medical School between 2016 and 2018 and sex and age matched.

**Analytical Procedures**

CSF and paired serum samples were collected as part of routine diagnostic workup. A leukocyte count in CSF samples >4 cells/μL was defined as pleocytosis. The cutoff for total CSF protein levels was set to >500 mg/L. Concentrations of albumin, IgG, IgA, and IgM were measured nephelometrically, and the CSF/serum ratios of albumin, IgG, IgA, and IgM were calculated. The age-dependent upper reference limit for the CSF/serum ratio of albumin (Q Alb), as an indicator for the CSF/blood barrier, was calculated according to the formula $Q_{\text{Albumin}} = 4 + (\text{age in y}/15)$. The intrathecally produced fraction of IgG, IgA, and IgM was determined by plotting the CSF/serum ratio vs $Q_{\text{Alb}}$ according to the
nomogram of Reiber (Reibergram).\textsuperscript{12} CSF and serum samples were investigated for oligoclonal bands (OCBs) by isoelectric focusing with consecutive silver staining or immunoblotting. The intrathecally produced fraction of pathogen-specific antibodies (Q_{spec}) was determined by calculating the pathogen-specific CSF/serum antibody index (AI) according to the formula: Q_{spec}/Q_{IgG}. In case of an intrathecal IgG production as indicated by the Reibergram (Q_{IgG} > Q_{lim}), the AI was calculated as Q_{spec}/Q_{lim} to Reiber and Lange.\textsuperscript{12,13} The cutoff for a positive AI was set to values ≥1.5.\textsuperscript{12,13} Titer of pathogen-specific IgG in serum and CSF was determined by ELISA from Virion/Serion (Würzburg, Germany) for IgG against EBV-encoded nuclear antigen 1 (EBNA-1), EBV-virus capsid antigen (EBV-VCA), and IgG against measles, rubella, VZV, HSV, and CMV. The detection antibody from the ELISA kit was exchanged for a polyclonal rabbit anti-human IgG-HRP from Agilent (Santa Clara, United States) to improve sensitivity.

To calculate arbitrary units, a standard curve derived of a positive standard serum pool was applied. The highest standard concentration defined as 100 arbitrary units was adjusted to an optical density of approximately 2.0. CSF and serum samples were then investigated with reference to the standard curve. Standard predilutions of 1:25 and 1:100 for CSF and 1:5,000 and 1:20,000 for serum were chosen to fit the linear range of the standard curve. The absorbance values were then referred to the standard curve to obtain arbitrary concentration unit.\textsuperscript{12} If at least 2 of the antibody indices against measles, rubella, and VZV are elevated, a polyclonal immune response, also called MRZ reaction, can be stated as positive.

### Statistical Analysis

GraphPad Prism version 5.02 was used for statistical analysis. The level of statistical significance was set to \( p < 0.05 \). Data are described by medians and range (min-max). The D’Agostino-Pearson omnibus normality test was used to assess for normal distribution of values. The Kruskal-Wallis test with Dunn multiple comparison post hoc test was applied for group comparison and Mann-Whitney \( U \) test for comparison of 2 groups. The Wilcoxon rank test was used for paired sample analysis and \( \chi^2 \) and Fisher exact tests for categorical data assessment. The Grubbs test was performed to identify significant outlier.

### Standard Protocol Approvals, Registrations, and Patient Consents

This study was approved by the Ethics Committee of the Hannover Medical School (2481-2014).

### Data Availability

Anonymized data not published within this article will be made available by request from any qualified investigator.

### Results

A total of 27 patients with AE were included in this study, of which 21 patients had anti-NMDAR encephalitis and 6
patients had LGI1 encephalitis. The MS cohort consisted of 30 patients, 26 patients with relapsing-remitting MS, 2 patients with primary progressive MS, and 2 patients with secondary progressive MS. The NIND control cohort consisted of 20 patients with IIH and 2 patients with NPH.

More women were in the group of patients with anti-NMDAR encephalitis (17 women/4 men), and the sex ratio was balanced in the group of patients with anti-LGI1 encephalitis (3 women/3 men). In the groups of patients with MS and NIND that were age and sex matched, more women than men were included with a similar ratio like in the group AE.

**CSF Parameters**

Routine CSF findings of patients with AE and patients of the control groups are summarized in Table 1.

A CSF pleocytosis was found in 13/27 patients with AE (45%), mostly anti-NMDAR (anti-NMDAR encephalitis 12/21 and LGI1 encephalitis 1/6), in 19/30 patients (63%) with MS but in none of the patients with NIND. The CSF leukocyte count was higher in patients with AE and patients with MS than in patients with NIND (p < 0.0001). The total CSF protein concentration was above 500 mg/L in 7 patients with AE (26%), 7 patients with MS (23%), and 4 patients with NIND (18%). A CSF-blood barrier dysfunction, indicated by an albumin quotient elevation, could be detected in 4 patients with AE (15%), 7 patients with MS (23%), and 4 patients with NIND (18%). An intrathecal IgG synthesis was more often detected with Reiber diagram in patients with AE (p = 0.00060) and patients with MS (p = 0.0014) than in patients with NIND. Likewise, IgM synthesis was more common in patients with AE (p = 0.0056) and patients with MS (p = 0.0029) than in patients with NIND.

In 17 patients with AE (63%), OCBs restricted to CSF were identified (15 patients with anti-NMDAR encephalitis and 2 patients with LGI1 encephalitis). OCBs restricted to CSF were also identified in 28 patients with MS (93%) and 2 patients with NIND (9%). OCBs were more frequently found in patients with MS (p < 0.0001) and patients with AE (p < 0.0001) than in patients with NIND. In patients with MS OCBs were more frequently found than patients with AE (p = 0.0080).

**Intrathecal Synthesis of EBV- and HSV-Specific Antibodies**

An intrathecal synthesis against at least 1 EBV antigen was found in 5 patients with AE (19%), 4 patients with anti-NMDAR encephalitis, and 1 patient with LGI1 encephalitis. In 2 patients with anti-NMDAR encephalitis, intrathecal synthesis against EBV-VCA without a synthesis against EBV-EBNA-1 was found. In 1 patient with anti-NMDAR encephalitis and 1 patient with LGI1 encephalitis, synthesis against EBV-EBNA-1 without a synthesis against EBV-VCA was detected. A combined synthesis against EBV-VCA and EBV-EBNA-1 was detected in 1 patient with anti-NMDAR encephalitis. In 2 of the 5 patients with an elevated AI against EBV, a positive MRZ reaction was additionally found. The median AI was 2.4 (2.1–2.7) for EBV-VCA, and the 2 AIs for EBV-EBNA-1 were 2.0 and 2.6.

In the group of patients with MS, an intrathecal synthesis against EBV was found in 2 patients (7%), 1 patient had an intrathecal synthesis against EBV-EBNA-1 (2.0) and another patient had a combination of synthesis against EBV-EBNA-1 (2.6) and EBV-VCA (2.1). In 1 of these patients, the MRZ reaction was positive. An isolated intrathecal synthesis against EBV was not found in any of the patients with AE or MS.

**Table 2** Intrathecal Immunoglobulin Synthesis Against Neurotropic Viruses in Patients With AE (anti-NMDAR and LGI1) in Comparison to Control Groups (MS and NIND)

<table>
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<tr>
<th>Virus antigen</th>
<th>Intrathecal immunoglobulin synthesis</th>
<th>Controls</th>
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<tr>
<td>Total (n = 27)</td>
<td>Anti-NMDAR (n = 21)</td>
<td>LGI1 (n = 6)</td>
</tr>
<tr>
<td><strong>EBNA-I</strong></td>
<td>2/27 (7%)</td>
<td>2/21 (10%)</td>
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<tr>
<td><strong>EBV-VCA</strong></td>
<td>4/27 (15%)</td>
<td>3/21 (14%)</td>
</tr>
<tr>
<td><strong>Measles</strong></td>
<td>4/27 (15%)</td>
<td>4/21 (19%)</td>
</tr>
<tr>
<td><strong>Rubella</strong></td>
<td>4/27 (15%)</td>
<td>4/21 (19%)</td>
</tr>
<tr>
<td><strong>Varicella zoster</strong></td>
<td>7/27 (26%)</td>
<td>7/21 (33%)</td>
</tr>
<tr>
<td><strong>Herpes simplex</strong></td>
<td>7/27 (26%)</td>
<td>7/21 (33%)</td>
</tr>
<tr>
<td><strong>CMV</strong></td>
<td>6/27 (22%)</td>
<td>5/21 (24%)</td>
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Abbreviations: AE = autoimmune encephalitis; CMV = cytomegalovirus; EBNA-1 = EBV-encoded nuclear antigen-I; EBV = Epstein-Barr virus; LGI1 = leucine-rich, glioma-inactivated 1; MS = multiple sclerosis; NMDAR = NMDA receptor; NIND = noninflammatory neurologic disease; VCA = virus capsid antigen.

* Measles: p = 0.0150 MS vs NIND. Rubella: p = 0.0066 MS vs NIND. Varicella zoster: p = 0.0123 AE vs NIND; p = 0.029 MS vs NIND. CMV: p = 0.00265 AE vs NIND; p = 0.0446 MS vs NIND.
The HSV-AI was positive in 8 patients with AE (30%, 7 patients with anti-NMDAR encephalitis and 1 patient with LGI1 encephalitis). The median HSV-AI was 2.4 (1.6-12.7). A positive MRZ reaction was found in only 2 of these patients (both had anti-NMDAR encephalitis). Of the patients with MS, 4 patients (13%) had an elevated AI against HSV, and 2 of them also exhibited a positive MRZ reaction. Evidence of an intrathecal synthesis against EBV or HSV was not found in any of the patients with NIND.

**Intrathecal Synthesis of Other Virus-Specific Antibodies**

Details of intrathecal synthesis against neurotropic viruses are shown in Table 2 and Figure 1. An intrathecal synthesis of pathogen-specific antibodies against at least 1 neurotropic virus (measles, rubella, VZV, HSV, or CMV) was detected in 14 patients with AE (52%) and 19 patients with MS (63%) and was not found in the cohort of NIND.
In AI-positive patients with AE, the highest median AI was detected against measles (5.8; 1.9–11.2), followed by rubella (3.9; 1.6–32.8), VZV (3.3; 1.8–20.0), HSV (2.9; 1.6–12.7), and CMV (2.9; 2.2–7.6). A high AI was measured in 1 patient for measles (11.2), 1 patient for rubella (32.8), and 1 patient for VZV (20.0). In patients with MS, the highest median antibody indices were found against VZV (5.1; 2.3–10.4), followed by measles (3.4; 2.0–11.3), rubella (2.6; 1.8–8.0), HSV (2.2; 1.8–2.4), and CMV (2.0). A high AI was found in 1 patient for measles (11.3), 1 patient for rubella (8.0), and 1 patient for VZV (10.4). Elevated AIs against measles, rubella, and VZV were more often found in patients with MS than in patients with NIND (measles: p = 0.0150; rubella: p = 0.0066; VZV: p = 0.029). In patients with AE, positive AI against VZV were more often detected than in patients with NIND (p = 0.0123) and elevated AI against CMV were more often found than in patients with MS (p = 0.0446) and NIND (p = 0.0265).

In 5 patients with AE (19%), the MRZ reaction was positive. All these patients had anti-NMDAR encephalitis. Elevated AIs against all 3 viruses were detected in only 1 patient. The MRZ reaction was positive in 7 patients with MS (23%), of which 4 patients showed elevated AIs against 2 of the viruses and 3 patients had elevated AIs against all 3 viruses. In none of the patients with NIND, an MRZ reaction could be detected.

Of note, 2 MRZ-positive patients with anti-NMDAR encephalitis and 2 MRZ-positive patients with MS had an elevated AI against at least 1 other neurotropic virus (HSV, CMV, and EBV). In addition, 2 or more positive AI against EBV, measles, rubella, VZV, HSV or CMV was found in 9 patients with anti-NMDAR encephalitis and 9 patients with MS.

**Indices of Acute or Prodromal Viral Infection**

In only 1 patient, an earlier CNS infection was documented in medical records. This patient had HSV encephalitis 3 months before diagnosis of anti-NMDAR encephalitis. The HSV-AI was not elevated in this patient. Prodromal symptoms were present in 5 patients with anti-NMDAR encephalitis weeks before onset of neurologic symptoms. In only 1 of these 5 patients, elevated AIs against EBV-VCA (2.7), HSV (3.4), and CMV (7.6) were detected. PCR test to detect viruses EBV, HSV, CMV, VZV, measles, and rubella in CSF were performed in 16/27 patients with AE (59%): EBV in 10/27 patients (37%, of which 2 patients had elevated EBV-AI), VZV in 15/27 patients (56%, of which 5 patients had elevated VZV-AI), HSV in 15/27 patients (56%; of which 4 patients had elevated HSV-AI), and CMV in 10/27 patients (37%, of which 3 patients had elevated CMV-AI). PCR test to detect measles or rubella were not performed. EBV, measles, rubella, VZV, HSV or CMV antigens were not found in CSF.
Disease Course of Patients With AE With Regard to AI Status

The relationship between disease course and the MRZ status was investigated, in analogy to studies in which the polyspecific immune reaction was supposed to be of prognostic value for patients with MS.14

Figure 2 depicts the course of the mRS score during hospital stay and at discharge with regard to the detected AI for neurotropic viruses. No difference was found between neurologic impairment at disease peak (highest mRS score) in patients with a positive MRZ reaction, an elevated AI against only 1 neurotropic virus, an elevated AI against EBV, and no elevated AI against a neurotropic virus. There was also no difference among these groups in regarding the neurologic impairment at discharge (mRS score at discharge). All but 1 patient with AE improved clinically. The improvement was for all patients (Δ mRS score, p = 0.0001). However, with regard to the AI status, an improvement was only found in patients without an elevated AI (Δ mRS score, p = 0.0092) or with only 1 elevated AI against neurotropic viruses (Δ mRS score, p = 0.0310).

Discussion

Here, we comprehensively assessed the AI against EBV and common neurotropic viruses in AE compared with MS and NIND to elucidate whether the immune response is either virus specific or part of a polyspecific immune response. The intrathecally produced antibodies against EBV and other neurotropic viruses (measles, rubella, VZV, HSV, and CMV) in patients with AE, MS, and NIND were determined.

Our study found an elevated AI against EBV in both patients with AE and in patients with MS but not in patients with NIND. An EBV infection of the CNS as a trigger for autoimmune responses eventually causing MS has been largely discussed.6,15,16 In a recent study by Abrahamyan et al.,17 a complete EBV seropositivity in a large cohort of patients with MS could be demonstrated, which strengthens the role of EBV in MS. However, several studies revealed only a low frequency of intrathecally produced antibodies against EBV in different cohorts of patients with MS, which is in line with our findings.5,18-23

The role of HSV in the pathogenesis of AE has also been explored.24 An infection of the CNS with HSV is clinically characterized by a decrease in consciousness, altered mental state, and seizures.25 An elevated AI against HSV can usually be found between 1 and 2 weeks after the onset of symptoms.13,21,26 HSV encephalitis is supposed to trigger antibodies against the NMDAR, which might explain relapsing neurologic symptoms weeks after the onset of HSV encephalitis.1,27,28 Around 20% of patients with HSV encephalitis are affected by this complication.1,27,29 An elevated AI against HSV was the most frequently found elevated AI in patients with AE in our study but was also detected in a small proportion of patients with MS. On the other hand, an elapsed HSV encephalitis 3 months before diagnosis of anti-NMDA R encephalitis was documented in 1 patient, but the AI against HSV was not elevated. This singular finding is in contrast to the assumption that virus-caused antibody synthesis is higher than the synthesis in a polyspecific immune response.26 The elevated AI against both viruses EBV and HSV should therefore be considered not only as an immunologic scar to a former infection but also in an autoimmune context. The MRZ reaction was reported to be positive in approximately 75% of all patients with MS.30 Here, the MRZ reaction was positive in 19% of patients with AE and in 23% of patients with MS. However, it was lower than in previously reported cohorts, which could be caused by the relatively small cohort size. The similar yet distinct polyclonal immunoreaction indicates a common pathophysiology that might explain the recently described coexistence of MS and anti-NMDAR encephalitis.31 This polyclonal immunoreaction was absent in patients with LGI1 AE.

In our study, all patients with AE or MS with an intrathecal immunoglobulin synthesis against EBV-EBNA-1 or EBV-VCA exhibited at least an intrathecal immunoglobulin synthesis against 1 other neurotropic virus. A similar result was found for HSV. In all but 2 patients with AE who had an elevated AI against HSV, AIs against other neurotropic viruses were also elevated.

These findings indicate that the evidence for an immune reaction against neurotropic viruses is rather part of a polyclonal response than a virus targeted humoral immune response after a CNS infection. However, it cannot be ruled out that one of the neurotropic viruses is responsible for triggering the diverse cellular immune mechanisms that cause the autoimmune phenomenon after an infection.21 In a short-term follow-up only covering the time in the primary care acute hospital, we could only find slight hints that a lacking intrathecal synthesis against neurotropic viruses could serve as a prognostic marker for a better outcome. A similar finding was described for MS, in which an intrathecal measles virus IgG synthesis correlates with disease activity on MRI.14 The MRZ reaction might also serve as a risk factor indicating conversion to MS.32 On the other hand, abnormalities in standard CSF parameters do not appear to affect prognosis in patients with AE.33

One major limitation of this study is the relatively small size of the cohort, which is due to the rarity of AE. Medical records about childhood infections and earlier vaccinations against neurotropic viruses were not available and would have been interesting to put in consideration with antibody findings. A further limitation is the observational character of the data collected from the multicenter registry.

In conclusion, an intrathecal polyspecific immune response against various neurotropic viruses can be observed in AE similar to MS suggesting that the MRZ reaction is not specific
for MS. The pattern of this polyclonal response differs in both diseases and could be a hint to the prevailing autoimmune pathophysiology. Elevated AIs against EBV were always accompanied by other AI against neurotropic virus, indicating that AE is more than a postinfectious neurologic disorder. We suggest determining AIs against neurotropic viruses and especially EBV during follow-up CSF examinations to further elucidate the role of these viruses in AE.

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**Disclosure**
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### Appendix 1 (continued)

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