Modern Look at Transverse Myelitis and Inflammatory Myelopathy
Epidemiology of the National Veterans Health Administration Population

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Abstract

Background and Objectives
To characterize population-level data associated with transverse myelitis (TM) within the US Veterans Health Administration (VHA).

Methods
This retrospective review used VHA electronic medical record from 1999 to 2015. We analyzed prevalence, disease characteristics, modified Rankin Scale (mRS) scores, and mortality data in patients with TM based on the 2002 Diagnostic Criteria.

Results
We identified 4,084 patients with an International Classification of Diseases (ICD) code consistent with TM and confirmed the diagnosis in 1,001 individuals (90.7% males, median age 64.2, 67.7% Caucasian, and 31.4% smokers). The point prevalence was 7.86 cases per 100,000 people. Less than half of the cohort underwent a lumbar puncture, whereas only 31.8% had a final, disease-associated TM diagnosis. The median mRS score at symptom onset was 3 (interquartile range 2–4), which remained unchanged at follow-up, although less than half (43.2%) of the patients received corticosteroids, IVIg, or plasma exchange. Approximately one-quarter of patients (24.3%) had longitudinal extensive TM, which was associated with poorer outcomes (p = 0.002). A total of 108 patients (10.8%) died during our review (94.4% males, median age 66.5%, and 70.4% Caucasian). Mortality was associated with a higher mRS score at follow-up (OR 1.94, 95% CI, 1.57–2.40) and tobacco use (OR 1.87, 95% CI, 1.17–2.99).

Discussion
This national TM review highlights the relatively high prevalence of TM in a modern cohort. It also underscores the importance of a precise and thorough workup in this disabling disorder to ensure diagnostic precision and ensure optimal management for patients with TM in the future.

*These authors contributed equally to this work.
Transverse myelitis (TM) comprises a heterogeneous group of inflammatory disorders affecting the spinal cord, which can present with a wide range of motor, sensory, and autonomic dysfunction.\(^1\) Potential mechanisms encompass demyelinating, infectious/parainfectious, autoimmune, and cryptogenic disorders. This broad differential can overlap with noninflammatory myelopathies and can be very challenging for clinicians to navigate, causing delays in diagnosis or treatment.\(^2\) The lack of longitudinal studies outside of referral centers has also hampered the understanding of this complex disorder.\(^3\)\(^5\)

There have been few contemporary studies investigating the prevalence of TM within the United States. One study investigated cases from 1960 to 1990 in New Mexico and found a total of 33 cases with estimated TM incidence rates of 4.6/1,000,000 per year.\(^6\) A more recent study investigating cases within Olmsted County (MN) calculated the prevalence of idiopathic acute TM at 7.9 per 100,000 between 2003 and 2016.\(^5\) International reports in Israel and United Arab Emirates reported a TM prevalence of 1.34/1,000,000 per year and 0.18/100,000 per year, respectively.\(^7\)\(^8\) All these reports are limited by differing diagnostic criteria and small sample sizes, which are analyzed within relatively homogeneous patient populations.

The objective of this study was to determine point prevalence, clinical characteristics, and mortality associated with TM in the National US Veterans Health Administration (VHA) population. We selected the VHA health care system as it is the largest integrated US health care system and offers a geographically diverse population to study, with a goal to improve awareness of TM to ensure prompt recognition and treatment.

**Methods**

**Patient Population**

We performed a retrospective review within the US VHA Health Information Systems and Technology Architecture (VistA) from January 1, 1999, to December 30, 2015. This study was approved by the University of Utah institutional review board and the Research and Development committee of the VHA Salt Lake City Health Care System (IRB 00067656). As part of study review, a waiver of informed consent was requested and approved by the IRB.

The study population consisted of all patients seeking care in the VHA system including all inpatient and outpatient VHA visits. We were broadly inclusive to ensure that all TM cases were identified within the VHA system based on *International Classification of Diseases (ICD)*-9 codes (Figure 1).\(^5\) This was followed by a retrospective review of each individual chart to ensure that cases met the definitive/possible TM diagnostic criteria outlined by the TM Consortium Working Group (TMCWG) in 2002.\(^1\) In accordance with the TMCWG diagnostic criteria, we characterized cases as disease-associated TM if the myelitis was determined to be related to a secondary process such granulomatous disease, infection, MS, or other demyelinating disease. After the exclusion of these disease-associated myelopathies, a diagnosis of idiopathic TM was made if their clinical symptoms were consistent with spinal cord dysfunction evolving over hours to 21 days.\(^1\) Patients with an alternative diagnosis, including dural arteriovenous fistula-related myelopathies, were excluded from further analysis. Information on those patients was not available. Each case was cross-checked by medical record number and birth date to avoid duplication of patient records.

**Point Prevalence Calculation**

For this VHA population-based study, we included all patients of both sexes and all ages and ethnicities. VistA identified 12,212,061 unique individuals within the VHA from January 1, 1999, to December 30, 2015; this total was used as the base denominator to determine point prevalence. This total included all unique inpatient and outpatient VHA visits from that time frame. The point prevalence calculation was based on TM cases that were diagnosed during our search period. We applied a conservative model of prevalence and excluded cases of TM not clearly diagnosed during the study period, including those that had been historically diagnosed. This accounts for the discrepancy in total TM cases identified within the study compared with the number used for the final point prevalence calculation. Those individuals excluded from the prevalence calculation were included in all additional analyses. Incidence rates were not calculated as the exact time of TM diagnosis was not always definitively known or reported and therefore would result in biased incidence estimates during this time period.

**Clinical Follow-up and Outcome Measures**

From the medical record, we abstracted information informing race/ethnicity, age, sex, along with date of diagnosis, smoking status (binary value of smoking vs non-smoker), antecedent trigger, and mortality data for all patients with TM. The time/delay to diagnosis was not consistently available in our data set, so this was not included in the analysis. The mortality data and cause of death were compiled
from the VHA National Patient Care Database and confirmed using the Beneficiary Identification and Record Locator Subsystem. Limited details around the cause of death were included, but no further details were available for review and therefore not reported.

Laboratory values including aquaporin-4 (AQP-4) and myelin oligodendrocyte (MOG) IgG autoantibodies were not included in this analysis, as commercial testing was not available during our entire search period. CSF analysis including unique CSF oligoclonal bands was included when available. Detailed serologic and CSF test results, including infectious studies, were not routinely available and are not reported in this study. MRI data were based solely on radiology reports. Longitudinally extensive TM (LETM) was defined as an intramedullary lesion extending over 3 or more vertebral segments on a spinal cord MRI. Further radiographic descriptors were not reported in this study. MRI brain findings were registered as normal or abnormal; this binary classification registered age-related changes including microvascular changes as normal.

Corticosteroids, IV immune globulin (IVIg), or plasma exchange were defined as first-line therapies. The treatment was defined as acute if it was given during the index admission or office visit. Therapeutic response was based either on clinician- or patient-reported outcomes and characterized as improved, no improvement with stable symptoms, or worsening of symptoms. In addition, the modified Rankin Scale (mRS) score was calculated at diagnosis and at the last follow-up based on available information in the chart. Because the medical records did not consistently document other validated or uniform outcome measures and varied extensively in detail, we were unable to report on outcomes beyond these simple descriptors.

**Statistical Analysis**

Patient demographics, medical history, and MRI features were summarized with descriptive statistics. Qualitative variables were shown as absolute frequencies and percentages. Continuous variables were shown with mean and SD. Multivariate logistic regression models were built to show significant associations of risk factors and/or predictors with mortality. Covariates were added to the model a priori, and significance was set at $p < 0.05$. Multivariate ordinal and logistic regression were performed in the subgroup of patients who had CSF performed to assess possible associations with the patients’ reported mRS score and presence of LETM. OR and 95% CIs were reported. All data analyses were performed using STATA version 16.1 (Stata Corp; College Station, TX).

**Data Availability**

The corresponding author is in possession of detailed methods and anonymized data of the present study, which is available on reasonable request.

**Results**

**Point Prevalence**

We identified 961 patients who were diagnosed with TM from January 1, 1999, to December 30, 2015. This equated to a point prevalence of 961/12,212,061, which was equivalent to 7.86 cases per 100,000 people. This included all patients with TM including disease-associated and idiopathic TM cases.
Demographics
A total of 4,084 patients were identified with an ICD-9 code for TM, and the diagnosis was confirmed in 1,001 individuals based on our study criteria (Figure 1). The discrepancy between the cases used in the point prevalence calculation and total cases was due to the fact that some patients were identified with TM ICD code, yet their diagnosis was made before January 1, 1999. The population was predominately Caucasian (67.8%), whereas 18.3% were African American, 3.1% Hispanic/Latino, and 2.0% Pacific Islander/Asian. The remainder of the demographics were similar to other VHA cohorts with a predominance of older male (90.7%) with a median age of 64.2 years (interquartile range [IQR] 53.5–69.9 years). Approximately one-third of the cohort (31.5%) were smokers.

Clinical and MRI Features
Patients with TM presented with moderate to severe deficits at the time of diagnosis (median mRS score 3 [IQR 2–4]). The majority of intramedullary spinal cord lesions were located within the thoracic spinal cord (42.6%). Cervical and lumbar cord lesions accounted for 35.5% and 4.2% of the lesions, respectively. The remaining TM attacks spanned both the cervical and thoracic regions. Approximately one-quarter of patients (24.3%) had LETM. A total of 715 patients had MRI brain radiology reports available; of these, 172 (17.2%) were reportedly abnormal.

Approximately 68.2% of cases were diagnosed as idiopathic TM (Table 1). Among all TM cases, the most common antecedent event was a prodromal infection (9.7%) or vaccination (3.3%). MS was the most frequent final diagnosis (total 164 cases [16.7%]). Of those patients with an abnormal MRI brain, 87 (50.6%) were eventually diagnosed with multiple sclerosis (MS). Similar results were found within the LETM cohort (Table 1).

CSF Analysis
CSF was available in 424 patients (42.4%; Table 2). An elevated protein was found in 68.4% of cases (median 57 mg/dL; IQR [39–75]; reference range >50 mg/dL), whereas 221 patients (52.1%) had an elevated white blood cell count (median 3 white blood cells; IQR [1–12]; reference range >5 white blood cells/mL). Unique CSF oligoclonal bands (reference ≥2 unique bands) were found in 109 patients (25.6%), and 59 (54.1%) of those patients had a final diagnosis of MS. A total of 35 patients with LETM (36%) had oligoclonal bands.

In our logistic model, a CSF pleocytosis was significantly associated with increased odds of LETM (OR = 1.01, 95% CI [1.001–1.018]), whereas oligoclonal bands were marginally associated with a decrease in odds of LETM (OR = 0.57, 95% CI [0.32–1.01]). Elevated protein (OR = 1.01, 95% CI [1.002–1.012]) and elevated glucose (OR = 1.01, 95% CI [1.002–1.023]) were associated with worsening mRS score outcomes.

Clinical Outcomes and Treatment
Average length of follow-up within the VHA system was 12.5 years (95% CI: 11.7–13.3 years), which included both inpatient and outpatient visits. The median mRS score at follow-up remained unchanged at 3 (IQR 2–4). A total of 41.3% of the cohort had an mRS score of less than 3. When analyzing the LETM cohort, only 37.2% of the cohort had an mRS score of less than 3. Acute treatment was initiated in

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<th>Table 1 Etiology and Outcomes of the TM Cohort</th>
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Abbreviations: LETM = longitudinal extensive transverse myelitis; mRS = modified Rankin Scale; TM = transverse myelitis.

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43.2% of the cohort, with corticosteroids as the most common treatment (Table 3). Plasma exchange was initiated in 4.9% of the cohort. Based on clinical records, patients and/or clinicians denoted that approximately 71.7% of patients who received first-line immunotherapies (corticosteroids, IVIg, or plasma exchange) had some improvement in functional outcomes, and 22.6% remained stable. The majority of patients remained off long-term immunotherapies, but 12.0% were continued on prednisone beyond the acute treatment period.

**Mortality**

A total of 108 patients (10.8%) died during our 15-year search period (94.4% males, median age 66.5 years [IQR 62.3–77.2 years], and 70.4% Caucasian). The most common cause of death was related to malignancy (20%), comorbidities (16.8%), or TM complications (9.5%). The median survival time was 8.9 years (range 4.3–16.2 years) from diagnosis. In our logistical model (Figure 2), increases in mortality were associated with age (OR 1.06 [1.04–1.08], p < 0.001), mRS score at follow-up (OR 1.94, 95% CI 1.57–2.40), and tobacco use (OR 1.87, 95% CI 1.17–2.99). There was no association with sex (p = 0.75) or race (p = 0.90).

**Discussion**

This modern investigation into TM point prevalence encompassing the US population revealed 7.86 TM cases per 100,000 people which was higher than previously reported, though similar to the most recent county based study in the United States. Our findings highlighted the limited diagnostic evaluation pursued at the time of diagnosis. Over half (57.6%) of cases lacked CSF testing, which is a core part of the TMWG diagnostic criteria and offers important diagnostic and prognostic information for patients and clinicians alike. Moreover, only one-third (31.8%) of cases were assigned a more precise final diagnosis other than TM, which is much lower than should be achievable. Our findings emphasize the need for a precise and comprehensive evaluation to ensure optimal management for patients with TM in the future.

Our national US point prevalence estimate is one of the highest reported to date and provides a contemporary understanding of TM across a diverse population. Our use of the VHA database allowed us to account for the fragmentation of the private US health care system, as previous studies focused on state/county level data, which limits their generalizability. Our rigorous approach to identify all cases over a 15-year search (with physician record review of all cases) minimized undercounting within limited observation periods. Other factors that may have contributed to this higher point prevalence include the evolution of diagnostic criteria, and more widely available technology, such as MRI. Geographic variability in the prevalence of TM that has not been previously reported, but has been seen in other neuroimmunologic conditions such as neuromyelitis optica spectrum disorder (NMOSD), could also account for some discrepancy in regional estimates.

A frequently cited prior study between 1955 and 1975 in Israel found an incidence of 1.34 per 1,000,000 but only included 63 TM cases using different inclusion criteria. A more recent, single-center study using the TMWG criteria examined 60 patients with TM between 2001 and 2005 in New Zealand and found an incidence rate for idiopathic acute TM of 6.3 per 1,000,000. The most recent TM study was conducted in Olmsted County and calculated the prevalence of idiopathic TM at 7.9 per 100,000 between 2003 and 2016. Our point prevalence calculation included all TM cases including those with a disease-associated classification. We specifically included all cases of TM in our calculation to provide a real-world calculation for the frequency of TM. It should be noted that our lower prevalence calculation when compared with Olmsted County may be related to incomplete clinical data; that study team likely had access to more complete records given their smaller study population along with their unique records linkage system.

Although we identified 4,084 patients with an ICD code for TM, we confirmed the diagnosis in less than one-quarter of those cases. This may reflect, in part, clinician confusion concerning TM as an actual disease entity. The TM label is often used by clinicians to describe a myelopathic disease processes without clear evidence of inflammation. Less than 45% of cases in our cohort had CSF results available. This may be an underestimate as some patients had testing outside of the VHA, but that is still a considerable number of patients lacking a proper evaluation, which is standard of care. A study by Barreras et al. analyzed cases within a tertiary myelopathy referral center and found that only 54% of TM referrals had documented inflammatory changes. The remainder of the cases showed a variety of different pathologies, including cases requiring surgical intervention. Similar results have been in other recent cohorts. All these factors may delay the proper diagnosis and treatment. Hence, it is critical to use the diagnostic nomenclature correctly.
(e.g., use of the term myelitis vs myelopathy) and the diagnostic criteria would benefit from a consensus update.

TM is classically described with subacute, symmetric symptoms at onset, with a well-defined truncal sensory level, yet we now recognize that TM and other inflammatory myelopathies can present in a myriad of ways including sudden, asymmetric symptoms without a clear sensory level. A careful clinical history with particular attention to the onset of symptoms can be central in determining the underlying etiology of a myelopathy. For example, rapid development of severe deficits (i.e., paraplegia or quadriplegia) within 12 hours along with a noninflammatory CSF profile should cue clinicians to a possible spinal cord infarct. This is especially true in older patients with vascular risk factors as was seen in our cohort. A more chronic evolution in symptoms can be suggestive of a vascular lesion such as a dural arteriovenous fistula or a structural lesion such as a spondylotic myelopathy. Clinical history can be paired with radiographic findings to determine the exact etiology. For example, the lesion distribution on axial imaging has been shown to be very informative. MS lesions typically involve peripheral, myelinated tracts, whereas spinal cord infarct will follow discrete vascular distribution. Detailed clinical and radiographic information is beyond the scope of this article but has been previously described and available within the following references.

The TMCWG classified TM into idiopathic TM and disease-associated TM. Disease-associated TM consists of cases associated with a secondary cause such as demyelinating disorders (MS and NMOSD), systemic rheumatic diseases (Sjogren syndrome and systemic lupus erythematosus [SLE]), or infections (syphilis, Lyme disease, and HIV). The idiopathic TM label should only apply to those cases where a comprehensive evaluation is unrevealing.

Recent advances, including the discovery of autoantibodies such as AQP-4 and MOG IgG, have offered greater clarity regarding potential etiologies of TM. Our search period from 1999 to 2015, in part, predates the discovery and widespread availability of these assays, and not all VA centers had consistent access to those tests. For these reasons, we were unable to include them in this analysis. In addition, the diagnostic criteria for NMOSD similarly evolved during this time, limiting the conclusions we could draw from our findings. Within our cohort, 1.5% of patients were diagnosed with TM related to a systemic rheumatic disease, which increased to 1.7% when analyzing only the LETM patients. NMOSD had a more dramatic increase from 3.1% to 8.3% within those respective subgroups. Recent data have illustrated that patients previously diagnosed with a systemic rheumatic disorders such as SLE often also have coexisting, pathologically relevant AQP-4 antibodies. Our data regarding the rates of MS were consistent with previous reports. Although the diagnostic criteria for this disorder have also evolved with time, MS is a widely recognized disorder among neurologists and general practitioners alike, and our findings likely reflect that fact. We identified a little over 16% of TM cases that eventually went on to be diagnosed with MS, which is similar to previous reported hospital-based series. The majority of our MS cases had an abnormal MRI brain or unique oligoclonal bands in the CSF.

Various infectious agents have been described in association with TM, although a specific pathogen is rarely identified. Limited infectious markers were available in our study, which
is a significant limitation, especially as military deployment could have exposed our population to a variety of infectious agents. Clinicians identified infectious/parainfectious processes in less than 10% of TM cases, whereas in the literature, 30%–60% of TM cases were espoused to an antecedent illness.6,21,22 As mentioned before, these are older studies (published before 1990), making direct comparison difficult. Clinicians should ensure that a complete travel history is completed in all patients with myelitis along with a focus on potential exposures, which might raise the risk of an infectious myelopathy.23

Concerns around vaccines as a trigger for TM have existed for many years, although it was a relatively rare association in our study.24 In a meta-analysis that examined TM associated with vaccinations between 1970 and 2009, 37 cases of TM were reported to be associated with various vaccines, with the vast majority of cases (73%) occurring within the first month postvaccination. The most common vaccination associated with TM in that analysis was against the hepatitis B virus.25 A more recent study from 2007 to 2012 found only 7 TM cases among 64 million vaccine doses.24 These relatively low rates are consistent with our results showing antecedent vaccination in 3.3% of our cases.

TM has the potential to cause substantial disability. In our series, 21.4% of patients were unable to ambulate unassisted at their follow-up visit (mRS score ≥4). Studies published before the TMCWG guidelines reported that up to one-third of patients with TM remained unable to ambulate with mortality ranging from 5% to 10%.7,21,26 More recent studies report severe disability (mRS score ≥3) ranging from 24.7% to 35.8%.19,27 In our series, 44.8% of patients had mRS score ≥3. LETM has been associated with a poorer prognosis in patients with acute TM along with increased rates of relapses.28,29 In our series, patients with LETM had worse outcomes as measured by the mRS (p = 0.002).

Most patients with TM do experience some degree of spontaneous recovery in neurologic function, but high-dose corticosteroids are usually employed as an empiric treatment in TM, which was consistent with the results in our cohort.11,19 Our study did not identify many cases where additional therapies were used beyond corticosteroids. In particular, there is strong, Class I evidence to support the use of plasma exchange in refractory demyelinating myelitis cases, especially in patients with NMOSD.30,32 Rapid immunotherapy initiation along with escalation in treatment in select cases is imperative in optimizing outcomes in this potentially devastating condition. Though not within the scope of our project, neurorehabilitation services should also be an integral part of myelitis treatment. Mortality data are not commonly reported in these more recent cohorts. Our mortality rate of 10.1% may reflect differences in the methodological aspects of previous studies (collaborative multicenter studies vs hospital-based series).

By analyzing such a broad group, this study may not reflect important differences among specific TM etiologies including MS, NMOSD, sarcoidosis myelopathy, and other related conditions. As mentioned previously, updates in diagnostic criteria may have influenced national trends in diagnoses among VHA clinicians. In addition, our study was not designed to evaluate the efficacy of immunotherapy in this setting but should be investigated in the future.

This national cohort analysis provides a point prevalence of TM, and underscores that the diagnosis of TM and myelopathy continues to present a significant diagnostic challenge, as the list of possible diagnoses and mimics is extensive. This necessitates a thorough diagnostic workup with consideration of inflammatory and noninflammatory etiologies. Identification of a clear etiology is of paramount importance, given the potential for intervention and prevention of further injury in recurrent inflammatory disease.

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Appendix

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<td>Haley Davis, MD</td>
<td>University of Utah &amp; George E. Wahlen Veterans Affairs Medical Center</td>
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<td>Stefanie J. Rodenbeck, MD</td>
<td>University of Utah</td>
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<tr>
<td>John W. Rose, MD</td>
<td>University of Utah &amp; George E. Wahlen Veterans Affairs Medical Center</td>
<td>Interpreted the data and revised the manuscript for intellectual content</td>
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<td>Major role in the acquisition of data; designed and conceptualized the study; analyzed the data; and drafted the manuscript for intellectual content</td>
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